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Multiferroicity in atomic van der Waals heterostructures

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Materials that are simultaneously ferromagnetic and ferroelectric – multiferroics – promise the control of disparate ferroic orders, leading to technological advances in microwave magnetoelectric applications and next generation of spintronics. Single-phase multiferroics are challenged by the opposite *d*-orbital occupations imposed by the two ferroics, and heterogeneous nanocomposite multiferroics demand ingredients' structural compatibility with the resultant multiferroicity exclusively at inter-materials boundaries. Here we propose the two-dimensional heterostructure multiferroics by stacking up atomic layers of ferromagnetic $Cr_2Ge_2Te_6$ and ferroelectric In_2Se_3 , thereby leading to all-atomic multiferroicity. Through first-principles density functional theory calculations, we find as In_2Se_3 reverses its polarization, the magnetism of $Cr_2Ge_2Te_6$ is switched, and correspondingly In_2Se_3 becomes a switchable magnetic semiconductor due to proximity effect. This unprecedented multiferroic duality (i.e., switchable ferromagnet and switchable magnetic semiconductor) enables both layers for logic applications. Van der Waals heterostructure multiferroics open the door for exploring the low-dimensional magnetoelectric physics and spintronic applications based on artificial superlattices.

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ultiferroics, a class of functional materials that simultaneously possess more than one ferroic orders such as ferromagnetism and ferroelectricity, hold great promise in magnetoelectric applications due to the inherent coupling between ferroic orders¹⁻⁶, leading to technological advances in next generation of spintronics and microwave magnetoelectric devices. However, single-phase multiferroics are challenged by the different ferroics' contradictory preference on the d-orbital occupation of metal ions: ferroelectricity arising from off-center cations requires empty d-orbitals, whereas ferromagnetism usually results from partially filled d-orbitals⁷. Conventional perovskite multiferroics (chemical formula: ABO₃) have lonepair-active A-sites which move to off-centers of centrosymmetric crystals for electric polarization, and B-sites with unpaired electrons for magnetic order. Because the ferroelectric and magnetic order in these materials are associated with different ions, the coupling between the ferroic orders are usually weak.

Heterogeneous multiferroics, synthesized composites of two mixed phases⁸, have the coupling between ferroelectric and magnetic order exclusively at inter-materials boundaries, with magnetoelectric effects occasionally established via interfacial magnetoelastic effect. As an example, magnetic nanopillars could be embedded in ferroelectric matrix. However, these heterogeneous multiferroics stringently demand the constituent materials on their structural similarity, lattice match and chemical compatibility, and have weak magnetoelectric effects limited by the interface/bulk ratios.

Van der Waals (vdW) crystals emerged as ideal material systems with unprecedented freedom for heterostructure construction⁹. Recent experimental advance discovered ferromagnetism^{10–12} and ferroelectricity¹³ in different two-dimensional vdW crystals separately. It remains a paramount challenge to realize multiple ferroic orders in a single-phase 2D material simultaneously 14-17, as each order encounters its own challenge (e.g., ferromagnetism in 2D systems suffers from enhanced thermal fluctuations, whereas ferroelectricity the depolarization field). Constructing heterostructures of 2D magnets and 2D ferroelectrics potentially provides a generally applicable route to create 2D multiferroics. However, the fundamental question remains regarding whether the interlayer magnetoelectric coupling can be established, given the presence of the interlayer vdW spacing. If realized, layered heterostructure multiferroics would provide completely new platforms with all atoms participating in the inter-ferroics coupling, and largely reshape the landscape of multiferroics based on vdW superlattices.

Through first-principles density functional theory (DFT) calculations based on a bilayer heterostructure of ferromagnetic Cr₂Ge₂Te₆ and ferroelectric In₂Se₃ monolayers¹⁸⁻²¹, we discovered a strong interlayer magnetoelectric effect: the reversal electric polarizations in In₂Se₃ switches the magnetocrystalline anisotropy of Cr₂Ge₂Te₆ between out-of-plane and in-plane orientations. For a 2D ferromagnet, such a change in magnetic anisotropy corresponds to a switching on/off of the ferromagnetic order at finite temperatures, for easy-axis anisotropy opens spin wave excitation gap and thus suppresses the thermal fluctuations, but easy-plane anisotropy does not 10,22,23. The switching of ferromagnetic order by electric polarization promises a novel design of magnetic memory. Detailed analysis unraveled the interfacial hybridization as the cause of interlayer magnetoelectric coupling. Furthermore, In₂Se₃ becomes magnetized due to the proximity to Cr₂Ge₂Te₆, making In₂Se₃ a single-phase multiferroics (i.e., ferromagnetic and ferroelectric orders coexist in In₂Se₃), although apparently the magnetization of In₂Se₃ requires the presence of the adjacent Cr₂Ge₂Te₆. Such multiferroicity duality—that is, the interlayer multiferroicity and the In₂Se₃ intralayer multiferroicity -provides unique solid-state system in which ferroelectric and

ferromagnetic orders interplay inherently. This unusual multiferroicity duality in vdW heterostructures may open avenues for developing new concepts of magnetoelectric devices: using single knob (the orientation of electric polarization in In₂Se₃) to control the magnetic order in both In₂Se₃ and Cr₂Ge₂Te₆. We envision the multiferroicity duality potentially enriches the freedom of layer-resolved data storage and that of information processing due to the diverse magnetoelectric and magneto-optic properties of constituent layers.

Results

Material model and computational details. In this work, the lattice constant of Cr₂Ge₂Te₆ adopted the experimental value 6.83 Å and was fixed in heterostructures for the sake of minimizing artifact effects, considering the magnetic properties of 2D Cr₂Ge₂Te₆ are sensitive to structure parameters. It has been reported that a monolayer In₂Se₃ of either zincblende or wurtzite stacking is unstable with a tendency of the lateral displacement of the top Se layer, leading to the energetically degenerate ferroelectric monolayer¹⁸. Although the one relaxed from the zincblende stacking is chosen in this study, it will be also applicable to the other derived from the wurtzite, because the main mechanism to be shown is determined by the interfacial monolayers and thus independent of the detailed stacking type of the multilayer In₂Se₃. The optimized lattice constant of 1×1 -In₂Se₃ (4.106 Å), is strained by -4.0% to match $In_2Se_3-\sqrt{3}\times\sqrt{3}$ to $Cr_2Ge_2Te_6-1\times1$ as shown by Fig. 1a. In heterostructure, the relative spacing and registry between Cr₂Ge₂Te₆ and In₂Se₃ are adjusted to find the energy minimum configuration. The reversal of the electric polarization of the isolated In₂Se₃ monolayer can be achieved via lateral displacement of the middle most Se layer, with an energy barrier as small as 0.04 eV per formula unit estimated by nudged elastic band calculation²⁴. In heterostructure, due to the large vdW spacing, the presence of Cr₂Ge₂Te₆ does not noticeably affect the energy barrier of the electric-polarization reversal process of In₂Se₃. The total energy of the heterostructure is lowest (highest) where the interfacial Te atoms sit at the hollow (top) site

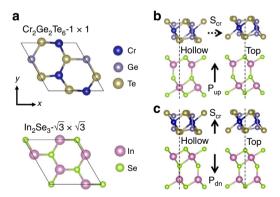


Fig. 1 Van der Waals $Cr_2Ge_2Te_6/In_2Se_3$ heterostructure and magnetoelectric coupling. **a** Lateral unit cell size is chosen to be equal to that of ferromagnetic $Cr_2Ge_2Te_6$ experimental lattice constant and commensurate to ferroelectric $In_2Se_3-\sqrt{3}\times\sqrt{3}$ with -4% strain. The interfacial two atomic layers (one atomic layer from each side of the interface) are shown in the top views of $Cr_2Ge_2Te_6-1\times1$ (top) and $In_2Se_3-\sqrt{3}\times\sqrt{3}$ (bottom). **b**, **c** Heterostructure side views with the In_2Se_3 ferroelectric dipole moment directed upward and downward (P_{up} and P_{dn}), respectively, and the induced easy-plane and easy-axis Cr spins (S_{Cr}). The solid (dashed) arrow of C_{Cr} indicates the allowed (prohibited) finite temperature long-range ferromagnetic ordering in 2D systems by the Mermin-Wagner theorem. For each case, the most stable (hollow) and unstable (top) stacking configurations are given

of $\rm In_2Se_3$, with their relative energy difference amounts to 0.31 and 0.35 eV/u.c. for upward (Fig. 1b) and downward (Fig. 1c) polarizations, respectively. The equilibrium interlayer distance between $\rm Cr_2Ge_2Te_6$ and $\rm In_2Se_3$ at the hollow configuration is 3.20 and 3.14 Å for up and down polarizations of $\rm In_2Se_3$, respectively. The total energy of the down polarization (Fig. 1c) is lower by 0.07 eV/u.c. than that of the up polarization (Fig. 1b), due to the stronger interfacial coupling between downpolarized $\rm In_2Se_3$ and $\rm Cr_2Ge_2Te_6$.

In order to reproduce the experimental magnetic properties of bulk Cr₂Ge₂Te₆, we used small onsite Hubbard U value 0.5 eV and Hund's coupling J value 0.0 eV for Cr d orbital in DFT calculations (see ref. 10 for the choice of U = 0.5 eV, J = 0.0 eV). This small onsite Columbic interaction is consistent with the fact that Cr₂Ge₂Te₆ is a small band gap material with less localization than Cr-oxides. The ferromagnetic ground state is confirmed with the Cr spin magnetic moment ${\sim}3.0\,\mu_B$. With the spin-orbit coupling (SOC) included, the magnetocrystalline anisotropy energy (MAE) is calculated and defined as $E_{[100]} - E_{[001]}$, where the former and latter correspond to the total energy with the Cr spins directed in-plane and out-of-plane, respectively. Due to the threefold rational symmetry of Cr₂Te₂Te₆, there is not much magnetic anisotropy within the basal plane. We checked the convergence of MAE carefully, where a large value of K-mesh $(12 \times 12 \times 1)$ was enough to ensure the error <10 µeV/Cr. For the isolated monolayer Cr₂Ge₂Te₆, our calculated MAE is $-70 \,\mu\text{eV/Cr}$, favoring the in-plane direction. In the heterostructures with up- and downpolarized In₂Se₃, the calculated Cr MAE is -95 and 75 μeV, respectively, whose energetically favorable spin orientations are indicated by S_{Cr} in Fig. 1b, c. By modulating the polarization of the adjacent ferroelectric layer, the switching of the magnetization orientation is realized. This has significant application implications: For a 2D magnetic system with easy-plane anisotropy (X-Y model), the finite temperature ferromagnetic order is inhibited, whereas for easy-axis anisotropy (Ising model), the magnetic order can be sustained at finite temperatures. Therefore, in such a heterostructure, multiferroic effect offers a potential route to switch the ferromagnetism for logic devices.

Mechanism for interfacial multiferroicity. The mechanism for electric-polarization dependent MAE is discussed in details. The calculated Cr orbital moment is small (<L $_x>$ = 0.04 μ_B , <L $_z>$ = 0.01 μ_B for in-plane, out-of-plane spin directions), which is less likely the origin of MAE. The plausible mechanism is related to the detailed feature of spin-resolved orbital-decomposed band structure²⁵. Starting from the collinear spin band structures, we analyzed the energy correction by the perturbation theory about λ L·S where λ is the radial part of Cr SOC. The orbital moment quenched by the crystal field results in the vanishing first order correction. Assuming the negligible change of the electron correlation energy between [100] and [001] spin directions, one can write the second order contribution to MAE as follows²⁵:

$$\begin{split} \text{MAE} = & \lambda^2 \sum_{\nu, c, \sigma} \left(|\langle \nu, \sigma | L_z | c, \sigma \rangle|^2 - |\langle \nu, \sigma | L_x | c, \sigma \rangle|^2 \right) / \left(\epsilon_{c, \sigma} - \epsilon_{\nu, \sigma} \right) \\ & + \lambda^2 \sum_{\nu, c, \sigma \neq \sigma'} \left(|\langle \nu, \sigma | L_x | c, \sigma' \rangle|^2 - |\langle \nu, \sigma | L_z | c, \sigma' \rangle|^2 \right) / \left(\epsilon_{c, \sigma'} - \epsilon_{\nu, \sigma} \right), \end{split}$$

Here the first and second summations correspond to the spin-conserving $|\Delta s_z|=0$ and spin-flipping $|\Delta s_z|=1$ transitions, and $|\nu,\sigma\rangle$ and $|c,\sigma\rangle$ are valence and conduction band states with spin σ , respectively, whose energy eigenvalues are $\epsilon_{c,\sigma}$ and $\epsilon_{\nu,\sigma}$. The angular momentum matrix elements of Lz and Lx correspond to transitions with $|\Delta m_z|=0$ and $|\Delta m_z|=1$, respectively, for Cr d-

orbitals. Therefore, for spin-conserving transition, SOC elements between occupied and unoccupied states with the same (different) magnetic quantum number through the $L_z(L_x)$ operator contributes to positive (negative) MAE. For spin-flipping transition, the contribution to MAE is reversed²⁶.

Figure 2a, b shows the spin-resolved orbital-decomposed band structure of heterostructures for up- and downpolarized In₂Se₃, respectively, where the contribution of Cr d-orbitals is indicated by the circles for $|m_z| = 0$ (z^2), 1 (xz and yz), or 2 ($x^2 - y^2$ and xy). As shown by the arrows in Fig. 2a for the heterostructure with up-polarized In₂Se₃, our calculated negative MAE mainly originates from the spin-conserving transition from $|m_z|=1$ to $|m_z| = 0$ or 2, i.e., $|\Delta s_z| = 0$ and $|\Delta m_z| = 1$ related to the second term of the first sum in Eq. (1). The mechanism is further confirmed by our results that the Cr MAE changes from about -100 to 200 µeV by intentionally increasing the U value from 0.5 to 2.0 eV: the increased U lowers the energy level of the majority spin in valence bands of $|m_z| = 0$ or 2 (Supplementary Fig. 3), and thus the transition energy gap of $|\Delta s_z| = 0$ and $|\Delta m_z| = 1$ is increased and the associated contribution is weakened, leading to the positive MAE.

However, for the heterostructure with downpolarized In₂Se₃, as shown in Fig. 2b, the conduction band minimum of Cr $d |m_z|$ = 1 shows a large gap (~0.2 eV) near 0.5 eV above Fermi level, which is caused by hybridization with the In₂Se₃ conduction band minimum. Such hybridization results in a significant depletion of $|m_z| = 1$ majority spin DOS (Supplementary Fig. 2a). Hence, the negative contribution to MAE found for the case of up-polarized In₂Se₃ is suppressed. Meanwhile the minority spin DOS remains almost unchanged for $|m_z| = 1$ (Supplementary Fig. 2a), leading to positive MAE via $|\Delta s_z| = 1$ and $|\Delta m_z| = 1$, as illustrated by the arrows in Fig. 2b. Considering the interfacial hybridization depends on the band alignment of In₂Se₃ and Cr₂Ge₂Te₆, we employed the Heyd-Scuseria-Ernzerhof exchange-correlation functional (HSE06) to recalculate the band properties of the heterostructures. As expected, the calculated band gaps widen compared with the GGA-PBE results, but the key features while In₂Se₃ reverses its electric orientation from up to down keep the same: the conduction band of In₂Se₃ moves down to hybridize with the conduction band of Cr₂Ge₂Te₆, as clearly seen in Supplementary Fig. 4.

The sign change of Cr₂Ge₂Te₆'s MAE upon the electricpolarization reversal of In₂Se₃ from up to down arises from the increased coupling, which causes the overall shift down of In₂Se₃ bands and its enhanced hybridization with Cr₂Ge₂Te₆. This suggests that the positive MAE for the down polarization would be enhanced by a reduced vdW spacing. To confirm this scenario, we did interlayer spacing dependent MAE calculations for hollow configuration as shown in Fig. 3. As the interlayer distance decreases, MAE increases gradually with a slight fluctuation. The fluctuation originates from the detailed variation of energy levels in the spin-polarized band structures. From the same calculation conducted for the top configuration, it exhibits a stronger fluctuation with the interlayer spacing, due to the larger degree of interfacial orbital overlap in top configuration. The same trend of the two curves in Fig. 3 confirms that the increased interlayer hybridization tends to switch the magnetocrystalline anisotropy from easy-plane to easy-axis. Detailed spin-resolved orbitaldecomposed analysis in Supplementary Fig. 5 shows that the decreased spin-flipping energy gap near K with decreased interlayer distance contributes to the positive MAE.

Magnetized In₂Se₃ in proximity to Cr₂Ge₂Te₆. Remarkably, the exchange splitting in Cr *d*-band magnetizes In₂Se₃ by the proximity effect. As shown in Fig. 2, the highest valence band has a

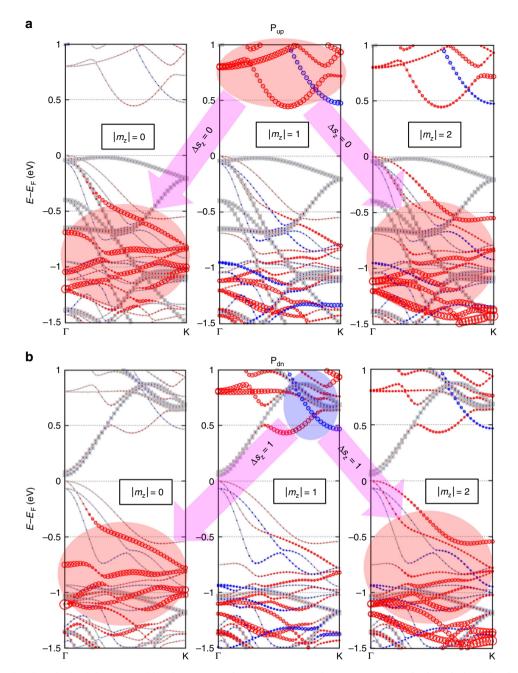


Fig. 2 Spin-polarized and Cr d orbital-decomposed band structures of $Cr_2Ge_2Te_6/In_2Se_3$ heterostructures. **a**, **b** The calculated band structures for the heterostructures with P_{up} and P_{dn} In_2Se_3 , respectively. For each electric polarization, the heterostructure (in terms of contact registry and interlayer distance) adopted the configuration of global energy minimum (see Supplementary Fig. 1). The majority and minority spin states are indicated by the red and blue circles, respectively, whose size denotes the contribution of Cr d orbitals with certain azimuthal angular momentum $|m_z|$. In_2Se_3 states are shown by the gray dots, and the band exhibits the overall shift down by ~1 eV while changing In_2Se_3 from P_{up} and P_{dn} , which indicates a strong In_2Se_3 -polarization dependent interfacial coupling. The pink arrows indicate the SOC elements between empty and filled states, indicated by the red circle for the majority spin or blue for minority, causing the negative or positive value of MAE (see Eq. (1) and text) for (a) or (b), respectively, thus the easy-plane or easy-axis S_{Cr} .

significant exchange splitting, where the majority-spin band is closer to the Fermi level near Γ . Those states are mainly caused by the surface Te atoms in $Cr_2Ge_2Te_6$, which means the interfacial Te atoms have the electron spin antiparallel to that of Cr d electrons. Our calculated spin moment per Te atom is $-0.11\,\mu_B$ for In_2Se_3 of either electric polarizations. Also, the surface In and Se atoms has non-zero spin moments parallel to Te spins induced by the proximity. The spin-resolved DOS of interfacial In and Se, shown in Fig. 4a, confirmed the magnetized In_2Se_3 . It is practically important to note the calculated magnetized In_2Se_3 here is a ground-state property. At finite

temperatures, easy-plane magnetization of 2D In₂Se₃ is susceptible to thermal fluctuations and long-range order does not exist, but easy-axis magnetization of 2D In₂Se₃ could sustain the spin polarization at certain finite temperatures. Hence, a switching of 2D magnetic ferroelectric In₂Se₃ could be realized in this heterostructure multiferroics, leading to a design of spin field-effect transistor^{27,28}.

The induced spin moment of surface Se is attributed to the exchange coupling $I \sim t^2/U$ between Te p and Se p orbitals, with t the hopping constant and U the intra-orbital Coulomb repulsion. In the limit of zero t or infinite U, the system favors the triplet

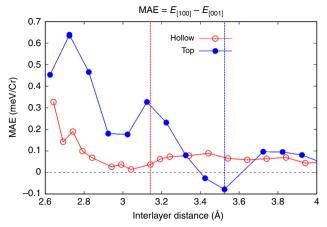
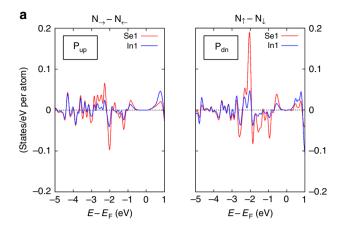


Fig. 3 Calculated magnetocrystalline anisotropy of $Cr_2Ge_2Te_6$ in the heterostructure versus the vdW interlayer distance. Magnetocrystalline anisotropy energy (MAE) (in meV/Cr) of $Cr_2Ge_2Te_6$ as a function of the distance to In_2Se_3 in the P_{dn} state, showing a gradual increase towards the positive MAE (easy-axis S_{Cr}) upon decreasing the distance for both hollow and top stacking configurations. This set of calculations confirmed the scenario that the enhanced interfacial hybridization between $Cr_2Ge_2Te_6$ and In_2Se_3 tends to switch the magnetocrystalline anisotropy from easy-plane to easy-axis. The calculation error is reflected by the symbol size (-0.01 meV/Cr). The red and blue dashed lines correspond to the equilibrium interfacial distances for hollow and top stacking configurations, respectively

state similar to the atomic Hund coupling, which is the case for Te p and Se p. For a given value of U, the t varies exponentially with the distance. Consistently, our calculations show the exponentially increasing Se spin moment with decreased interfacial distance, as shown in Fig. 4b. The correlation effect should depend on the specific nonlocal correlation functional. For different vdW functionals, the induced spin moments remain at nearly the same magnitude (Supplementary Fig. 6).

Discussion on practical experimental factors. It is of experimental guidance to remark on the possible effects of real material environments. Calculation and analysis in this work are based on the heterostructure of a bilayer system floating in vacuum. In the experimental realization, the initial anisotropy of the magnetic layer Cr₂Ge₂Te₆ could be affected by a few factors, including contacting the materials of large dielectric constants^{22,29} or large SOC strengths³⁰, unintentional doping^{31–33} caused by chemicals in device fabrication process, and small amount of artificial strain induced in heterostructure preparation. These factors may affect the resultant magnetoelectric effect quantitatively, as reflected from the calculated MAE of the heterostructures based on the arbitrary sets of *U* and *J* values (see Supplementary Fig. 7): the increased U value enhances the out-of-plane anisotropy, while the increased J value enhances the in-plane anisotropy; for any tested set of U and J values, the out-of-plane anisotropy is always enhanced by ~ 0.15 meV/Cr when the In₂Se₃ dipole is inverted from up to down. Therefore, even if our adopted values of *U* and *J* (U = 0.5 eV, J = 0.0 eV) slightly deviate from the exact description of the real heterostructure samples because of the aforementioned complex experimental conditions, the reversal of the In₂Se₃ polarization from up to down always strengthens the 2D ferromagnetic order in Cr2Ge2Te6. This leads to a general implication: in practice, one can always set a temperature so that 2D ferromagnetism could be found in P_{dn}-In₂Se₃-Cr₂Ge₂Te₆ but disappear from P_{up}-In₂Se₃-Cr₂Ge₂Te₆, leading to the practical switching experiments at finite temperatures. Therefore, the



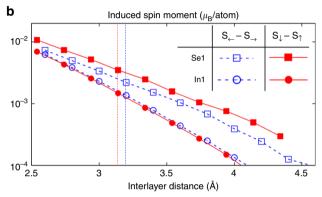


Fig. 4 Magnetoelectric effect in In₂Se₃, mediated by the magnetic proximity to Cr₂Ge₂Te₆. a Projected spin density of states for the surface (Se1) and subsurface (In1) atomic layers close to Cr₂Ge₂Te₆. Left and right panels correspond to the heterostructures with Pup and Pdn In2Se3, respectively, with the in-plane and out-of-plane spin quantization axis. Given the prohibited long-range magnetic order for the easy-plane 2D magnetic system, the spin-polarized In₂Se₃ as shown by the left panel of (a) is only a ground state at zero Kelvin. But the easy-axis spin-polarized In₂Se₃ as shown by the right panel of (a) is practical at finite temperatures. Hence, the magnetization of the semiconducting In₂Se₃ can be switched by its own electric polarization, while in proximity to Cr₂Ge₂Te₆. **b** Interlayer distance dependence of the proximity-induced Se1 and In1 spin moments. The vertical dashed lines denote the equilibrium distances predicted by PBE with D2 vdW correction. Note that the induced spin moments are negative, which means the interlayer antiferromagnetic proximity effect in the studied In₂Se₃-Cr₂Ge₂Te₆ heterostructures

magnetoelectric effect presented here, based on the modification of MAE by the intricate interface hybridization which further relates to the electric polarization of the 2D ferroelectrics, is an intrinsic interfacial phenomenon.

Summary. We employed first-principles DFT calculations on a vdW heterostructure consisting of ferromagnetic Cr₂Ge₂Te₆ and ferroelectric In₂Se₃ monolayers. By reversing the electric polarization of In₂Se₃, the calculated magnetocrystalline anisotropy of Cr₂Ge₂Te₆ changes between easy-axis and easy-plane (i.e., switching on/off of the ferromagnetic order), which promises a novel design of magnetic memory. Furthermore, In₂Se₃ becomes magnetic ferroelectrics, with switchable spin polarizations according to its own electric polarization. The 2D multiferroic heterostructures would tremendously enlarge the landscape of multiferroics by artificially assembling 2D layers and provide new material platforms for a plethora of emergent interfacial phenomena.

Methods

The DFT method and parameters. All the calculations were performed by the DFT method implemented in Vienna ab initio Simulation Package (VASP)³⁴, with the Perdew-Burke-Ernzerhof (PBE) functional³⁵ in the scheme of generalized gradient approximation (GGA). The main data was calculated by GGA + U based on the Liechtenstein approach with $U=0.5\,\mathrm{eV}$ and $J=0.0\,\mathrm{eV}$. The van der Waals interatomic forces are described by the D2 Grimme method³⁶. The K-mesh of 6 × 6 × 1 and the energy cutoff of 300 eV are used for the structural optimization. The dipole correction is included to exclude spurious dipole–dipole interaction between periodic images.

Data availability

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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Author contributions

C.G. and X.Z. conceived the project. G.L. and E.M.K. performed the calculations with the close discussions with C.G. C.G., G.L., and X.Z. did data analysis and wrote the paper with the assistance from Y.W.

Additional information

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